# Digital Libraries and Search Engines for Software Engineering Research: An Overview

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The aim of this document is to provide informal information relating to the major search engines and digital libraries that are frequently used by the software engineering research community. The details provided are particularly focussed towards the needs of evidencebased researchers performing systematic literature reviews but the details may be useful to researchers performing any form of literature survey. Where the information is available, information is provided relating to the resources indexed by each digital library or search engine, and other relevant information concerning the searching facilities provided. It is intended that this document will be updated periodically with information relating to different electronic databases or search engines, or as new information is discovered about the databases already covered.

# 1. Digital Libraries

The following provides details on the main digital libraries utilised by software engineering researchers, specifically IEEE Xplore, ACM Portal, and ScienceDirect.

## **1.1. IEEE Xplore**

Firstly, it should be noted that IEEE Xplore lists search results ranked by "relevance", where relevance is calculated by the following algorithm:

- Frequency of the search term in the full abstract/citation record
- Text length of the abstract/citation record
- For AND queries, proximity of the search terms throughout the abstract/citation record

#### **1.1.1. Access restrictions**

A list of what can be accessed by subscription level (IEEE Member, Institutional Subscriber, or Guest) can be seen at the following URL:

http://ieeexplore.ieee.org/guide/g\_oview\_access.jsp

#### 1.1.2. Searching

Use of the basic search facility without using field codes to direct where to search, results in the search defaulting to the field code 'metadata'. The field code 'metadata' searches the following:

Document Title, Author, Publication Title, Abstract, Index Terms, Affiliation

Therefore, the basic search does not search the full text of the indexed resources/PDF files. Full text can be searched when using the basic search facility by including '<in>pdfdata' at the end of the search string. However, a login is required to search the full text of indexed articles.

Logging in allows the user to search specifying certain field codes, as outlined in Table 1:

Abstract	ab
Affiliation	CS
All fields	metadata
Author(s)	au
Catalog number	ca
CODEN	cn
Conference date	cy
Document title	ti
Editor(s)	au
Full text	pdfdata
Index term	de
ISBN	in
ISSN	in
Issue number	is
Issue part number	pt
Meeting date	cy
Part number	pt
Publication name	jn
Publication year	pyr
Subject term	de
Title	ti
Volume	VO

# Table 1 – Field Codes available in IEEE Xplore (http://ieeexplore.ieee.org/xplorehelp/Help\_fieldcodes.html)

Logging in also allows the user to access the advanced search facility (see Figure 1):

	in All Fields	~		<ul> <li>Select publications</li> <li>IEEE Periodicals</li> </ul>
AND V	in All Fields	~		IET Periodicals
AND V	in All Fields	~		AIP/AVS Periodicals
				IEEE Conference Proceedings
Run Search Reset				IET Conference Proceedings
» Note: If you use all three sear	rch boxes the entries in the fir	rst two boxes		IEEE Standards
take precedence over the entry				
				» Other Resources (Available for Purchase)
) OPTION 2			(2) Help	V IEEE Books
Enter keywords, phrases, or a Boolean expression			() Help	Educational Courses
1				
				» Standard Status
				(Applies to IEEE Standards only)
				Status All 💌
				» Select date range
Run Search Reset				<ul> <li>Search latest content update (12 Jan 2010)</li> </ul>
Ninker, Marcine and Alexandre				💿 From year 🛛 All 🛛 💌
» Note: You may use the searce				to Present 💌
without the start and end bra				
without the start and end bra Learn more about <u>Field Code</u> :	s, <u>Search Examples</u> , and <u>Sear</u>	ch Operators		

Figure 1 – Advanced Search Screen in IEEE Xplore

A complete user guide to IEEE Xplore can be accessed at the following URL: <u>http://ieeexplore.ieee.org/guide/g\_oview\_guidepdf.jsp</u>

#### **1.1.3. IEEE Computer Society Digital Library** (http://www.computer.org/portal/web/csdl/home)

The IEEE Computer Society Digital Library (CSDL) indexes journals and conferences proceedings published by the IEEE Computer Society. The list of the resources indexed by the CSDL is available at the following link: <u>http://www.computer.org/portal/web/csdl/home</u>

The advanced search facility offers the ability to search particular journals by name, and also to restrict the search to only resources to which the user is subscribed (see Figure 2). It also offers similar search facilities to IEEE Xplore, such as the ability to search via a restricted set of field codes (Full Text, Title, Author, Date, ISSN/ISBN, or Exact Phrase) as well the ability to combine search phrases using Boolean operators.

Search In: OAll Content OOnly the following $\odot$ My subscriptions					
Magazines IEEE Transactions On					
Annals of the History of Computing	🗹 🍳 Computers				
Computing in Science & Engineering	🗹 🍳 Knowledge Data Engineering				
Computer Magazine	Parallel & Distributed Systems				
Computer Graphics & Applications	Pattern Analysis & Machine Intelligence				
	🗹 🍳 Software Engineering				
🗹  🧕 Design & Test of Computers	Visualization & Computer Graphics				
🗹 🧕 Intelligent Systems	🗹 🍳 Mobile Computing				
🗹 Internet Computing	Computational Biology & Bioinformatics				
🗹 🧕 IT Professional	Dependable & Secure Computing				
🗹 🧕 Micro	Haptics				
🗹 🧿 MultiMedia	Learning Technologies				
🗹 🧿 Software	Services Computing				
🗹 🧕 Pervasive Computing	Affective Computing				
🗹 🧕 Security & Privacy	Site Areas				
🗹 🧔 DS Online	🗹 Onference Proceedings				
Letters	🗹 🍳 Computer Society Book Store				
Computer Architecture	🗹 🧕 Distance Learning				
Partner Site Content	🗹  🙆 Main Web site (non-publication areas)				
🗹 🥥 ACM Digital Library					
Key: 👩 = Subscribed 🥥 = Not Subscribed 🌀 = No Subscription Required					

Figure 2 – CSDL Advanced Search Facility

A known paper, published by the IEEE Computer Society, was searched for in both the CSDL and IEEE Xplore and the paper was found in both digital libraries (Turner *et al.*, 2003). This result indicates that the CSDL content is a sub-set of the content indexed by IEEE Xplore. Further evidence is provided by the fact that the IEEE Computer Society journals are listed under the content indexed by IEEE Xplore, for example IEEE Transactions on Software Engineering

(http://ieeexplore.ieee.org/xpl/periodicals.jsp?letter=S&type=0&list=all).

The IEEE Conference Publishing Services Web site also describes how all conference publications are published in both IEEE Xplore and IEEE CSDL.

"...all CPS published conference publications are automatically included in *IEEE Xplore* and the *IEEE Computer Society (CSDL) Digital Library*." (IEEE Computer Society CPS, 2009).

## **1.2.** ACM Portal (http://portal.acm.org/dl.cfm)

The ACM portal indexes journals and conference proceedings published by the ACM, along with selected publications from affiliated organizations. A list of publications by affiliated organizations is available:

http://portal.acm.org/browse\_dl.cfm?linked=1&part=affil&coll=portal&dl=ACM&CFID =65759424&CFTOKEN=68988647

The above link provides the following details:

- ALGOL Bulletin Computer History Museum
- Computational Linguistics MIT Press
- International Journal of Network Management John Wiley & Sons, Inc.
- Journal of Computing Sciences in Colleges Consortium for Computing Sciences in Colleges

- Linux Journal Specialized Systems Consultants, Inc.
- Mobile Networks and Applications Kluwer Academic Publishers
- Personal and Ubiquitous Computing Springer-Verlag
- Proceedings of the VLDB Endowment VLDB Endowment
- The Journal of Machine Learning Research JMLR.org
- The VLDB Journal The International Journal on Very Large Data Bases Springer-

Verlag New York, Inc.

- Wireless Networks Kluwer Academic Publishers
- ACL Workshops: <u>ACL Workshops</u>
- ACL: Annual Meeting of the ACL
- ANLC: Applied Natural Language Conferences
- CASCON: IBM Centre for Advanced Studies Conference
- **COLING:** International Conference On Computational Linguistics
- **CSCL:** Computer Support for Collaborative Learning
- **EACL:** European Chapter Meeting of the ACL
- HLT: <u>Human Language Technology Conf</u>erence
- ICLS: International Conference on Learning Sciences
- MUC: Message Understanding Conference
- NAACL-ANLP: <u>ANLP/NAACL Workshops</u>
- NAACL: North American Chapter Of The Association For Computational Linguistics
- **TINLAP:** Theoretical Issues In Natural Language Processing
- VLDB: Very Large Data Bases

In terms of searching, ACM by default searches the *full text and all metadata* of all indexed articles. The advanced search (Figure 3) is also available without the need to have an account.

Enter words, phrases or names below. Surround phrases or full names with doub SEARCH	le quotation marks.
Words or Phrases	Names
Find [any field] 🗹 with	Find [any field] 🕑 with names
all of this text (and)	using 💿 all 🔘 any 🔘 none of the names
any of this text (or)	
none of this text (not)	
Keywords	Affiliations
Find author's keywords	Find company or school
using 💿 all 🔘 any 🔘 none of the keywords	using 💿 all 🔘 any 🔍 none of the affiliations
Publication	
Find publication	Find publisher
using ⊙ all ◯ any ◯ none of the names	using 💿 any 🛛 none of the names
Published since verify Published before verify	
In publication types Journal Proceeding Transaction Magazine	vewsletter
Conference	
Find sponsor names	Find location
using 💿 all 🔘 any 🔘 none of the names	using 💿 any 🛛 none of the locations
Find year (yyyy)	
using 💿 any 🔘 none of the years	
Identification codes	
Find ISBN/ISSN	Find DOI
Computing Classification System (CCS)	Required components
Find node	Results must have 🛛 Full Text 🗖 Abstract 🗖 Review
Find subject/noun	

Figure 3 – ACM Advanced Search Screen

## **1.3. ScienceDirect (http://www.sciencedirect.com/)**

ScienceDirect is a digital library that primarily indexes material published by Elsevier.

#### 1.3.1. Indexing

ScienceDirect indexes the following:

- Over 2000 journals published by *Elsevier* (including *Information and Software Technology*).
- Plus dynamic linking to journals from around 350 Science/Technology publishers through CrossRef (http://www.crossref.org/).

A full list of titles available through ScienceDirect is available:

http://pts.sciencedirect.com/journalholdings/sd\_holdings\_guest.asp

The above list can be searched to determine if ScienceDirect indexes a particular journal. Note that both Web of Science and Scopus are also able to search Elsevier journals.

#### 1.3.2. Searching

The basic search allows for searching of the following:

- All Fields (which searches all metadata and the full text of all articles indexed)
- Author
- Journal/Book title
- Volume, Issue, Page

The advanced search facility can be accessed only when logged in, which also includes Athens or institutional access. The advanced search, as shown in Figure 4, allows the user to search for specific terms within particular fields ('Abstract, Title and Keywords', Authors, Specific Author, Source Title, Title, Keywords, Abstract, References, ISBN, ISSN, Affiliation, or Full Text).

Quick Search	All fields	Author				
🕜 search tips	Journal/book title	Volume	Issue	Page	Clear 🔀	Go 🌏
🚫 Add to my Q	uick Links					
All Sources	Journals Books		Advanced Sear	ch   Expert Searc	h	
Term(s):	within: A	All Fields	~			
AND 💌	within: A	All Fields	~			
	✓ Journals ✓ All Books All sources ✓ Select one or more:					
Subject:	- All Sciences - Agricultural and Biological Sciences Arts and Humanities Biochemistry, Genetics and Molecular Biology		Hold down the key) to select r	Ctrl key (or 発 nultiple entries.		
Dates:	<ul> <li>Orall Years</li> <li>O 2000 ♥ to: Present ♥</li> <li>Search</li> <li>Clear</li> <li>Recall Search</li> </ul>			② Search Tips		

Figure 4 – ScienceDirect Advanced Search Screen

## 2. Search Engines (index multiple sources)

The following provides details about a selection of the other search facilities available which, instead of specialising in a particular publisher, instead index content from multiple digital libraries.

## 2.1. Web of Science (http://isiknowledge.com)

## 2.1.1. Searching

The basic search facility in Web of Science allows the user to search using various search terms and Boolean operators. However, the search forces the user to search in a predefined list of fields and, as it only indexes content from other sources, does not allow for the searching of the full text. The field tags that can be used are as follows:

TS=Topic TI=Title AU=Author GP=Group Author ED=Editor SO=Publication Name PY=Year Published CF=Conference AD=Address OG=Organization SG=Suborganization SA=Street Address CI=City PS=Province/State CU=Country ZP=Zip/Postal Code FO=Funding Agency FG=Grant Number FT=Funding Text

The Boolean operators that can be used are as follows:

AND OR NOT SAME

The advanced search facility allows for searching using the above field tags as well as to combine them, e.g.

TS=(nanotub\* SAME carbon) NOT AU=Smalley RE It also allows for restricting the search to particular years, languages, and different document types. However, like the basic search facility, the advanced search does not appear to allow the user to search the full text of the indexed articles.

#### 2.1.2. Indexing

Web of Science searches five databases:

- Science Citation Index Expanded
- Social Sciences Citation Index
- Arts and Humanities Citation Index
- Index Chemicus
- Current Chemical Reactions

The Science Citation Index Expanded database is of most relevance as it includes Computer Science as a discipline. The SCI-expanded database covers over 8,700 journals, and is maintained by Thomson Scientific. The computer science journals that are covered include IEEE, ACM and IET published journals. A search of the master journal list included:

IEEE Transactions on Software Engineering, IEEE Software, IEEE Computer Empirical Software Engineering, Information and Software Technology, IET Software, Software Practice and Experience. The master journal list for Web of Science is available, and can be searched to determine if a particular journal is indexed: http://www.thomsonscientific.com/cgi-bin/jrnlst/jlsearch.cgi?PC=MASTER

Web of Science authorises journals for inclusion and journals can be submitted to be indexed and, if chosen, are added to the above list and included in the search.

## 2.2. Scopus (www.scopus.com)

Scopus is similar to Web of Science in that journals are authorised for inclusion and the engine has its own index list. Some universities have institutional access, but for those without institutional access Scopus also offers an Athens login. A full list of the journals that it indexes is available:

http://www.info.scopus.com/detail/what/titles.asp

The list includes:

IEEE Transactions on Software Engineering, IEEE Software Empirical Software Engineering, Information and Software Technology, IET Software, Communications of the ACM.

Similar to many other search engines, Scopus has the ability to select where to direct a search (including "All fields", "Author", and "References") but as it only indexes material published elsewhere, it does not appear to have a full text search facility.

## 2.3. Google Scholar (http://scholar.google.co.uk/)

It is very difficult to find out exactly which journals are indexed by Google Scholar. However, it does index the ACM and IEEE databases and does not appear to include Elsevier journals (Meho & Yang, 2007). Past experience with performing SLRs has however found that there is some crossover between Google Scholar and ScienceDirect, indicating that Google Scholar does search at least some Elsevier journals.

As it is based on a standard 'web crawler' method of indexing (as used by Google), it is arguably more suited than the other databases for finding 'grey literature' (such as TRs and Masters and PhD theses).

Note that Google Scholar will return several results that are listed as [citation]. These links cannot be clicked on, as according to the Google Scholar help page: "These are articles which other scholarly articles have referred to, but which we haven't found online. A great deal of scholarly literature is still offline, and until these papers are available online, citation-only results can help researchers find as much relevant information as possible." [Google Scholar Help, 2009].

It is very difficult to determine if Google Scholar searches full text or exactly where Google scholar gets the results that are returned. As it uses the standard web crawler method, it can be assumed that it will search the full text of PDF files when available, or will search what metadata is freely available from the different indexed sources.

## 2.4. CiteSeer (http://citeseer.ist.psu.edu/)

CiteSeer is similar to Google Scholar or other search engines in that the indexing is done automatically via a web crawler. The crawler searches for academic publications in PDF, PS, or Microsoft Word format, with filters to remove any documents that do not meet academic standards. Documents can also be submitted for inclusion via a Web form. Links are made via citations and the references included in the indexed documents.

Therefore, it is very difficult to determine exactly what sources are included but, like Google Scholar, it will also include some 'grey literature' that would be not be indexed by the digital library search engines.

In terms of the search facilities, according to the help page "CiteSeer indexes the full-text of the entire articles and citations. Full Boolean, phrase and proximity search is supported." [Citeseer Help, 2009].

Note that Citeseer appears to be in the process of being replaced by CiteseerX (<u>http://citeseerx.ist.psu.edu/</u>).

## 3. Summary

Table 2 provides a summary of the details of each search engine or digital library covered.

	Digital Library (DL) or Search Engine (SE)	Login Required (Y or N)	Full text search
IEEE Xplore	DL	Y	Y (when logged in)
ACM Portal	DL	Y	Y (without logging in)
ScienceDirect	DL	Y	Y (without logging in)
Web of Science	SE	Y (Institutional access or Athens)	N
Scopus	SE	Y (Institutional access or Athens)	N

Google	SE	Ν	Y (although
Scholar			it is assumed
			this is only
			possible
			when the
			full text is
			publicly
			available)
Citseer	SE	Ν	Y (although
			it is assumed
			that this is
			only
			possible
			when the
			full text is
			publicly
			available)

Table 2 – Summary of Digital Libraries/Search Engines

## References

Citeseer help (2009): Available: <u>http://citeseer.ist.psu.edu/citeseer.html</u> [01/12/2009]

Google Scholar help (2009): Available http://scholar.google.co.uk/intl/en/scholar/help.html [01/12/2009]

IEEE Computer Society Conference Publishing Services (2009), Frequently Asked Questions regarding publishing with CPS, Available: http://www.computer.org/portal/web/cscps/faq [18/01/2010]

Meho, L.I.and Yang, K. (2007) A New Era in Citation and Bibliometric Analyses: Web of Science, Scopus, and Google Scholar, *Journal of the American Society for Information Science and Technology*, 58(13), pp. 2105–2125.

Turner, M., Budgen, D., and Brereton, P. (2003). Turning Software into a Service, IEEE Computer, 36(10), pp. 38-44.